



## THE POWER OF BEING UNDERSTOOD

## SPEAKERS BUREAU

Our professionals are available for presentations on a variety of topics, including those listed below. If your organization has a request outside of this list, please contact us.

### 2018 Hot Topics

#### **We need to TALK about our Relationship... our Digital Relationship**

Financial Institutions have relied on “relationship banking” as the cornerstone of success and the primary differentiator from larger competitors. But, today’s consumer has rapidly changing expectations for how they communicate and how they interact with all businesses. What is the right strategy for your Financial Institution?

#### **“The Way We’ve Always Done It”**

Often considered the most expensive words in business, but uttered nearly every day in institutions across the country. The industry and customer base has dramatically changed due to software solutions and technology but we are falling behind. Simple process changes, communicating and software solutions can improve our organizations in countless ways, from automating previously manual tasks to simplifying complex transactions, why don’t we implement change?

#### **REVOLUTION: Establishing Tomorrow’s Financial Institution**

In today’s world of outsourcing and third-party services and products, it is critical that enterprises have a strong process to manage third-party relationships. In this session we will discuss the path to create a third-party relationship management process that is effective, robust, adequate and followed.

#### **Cultural Impact of M&A Deals**

Mergers and Acquisitions are a normal part of business and we often focus on the numbers side of the deal but the work really begins when we integrate the people, process and technology. We will present the perspectives of the both the Buying and Acquired side of the deal from the view of the management and employees.

#### **Cybersecurity Frameworks and Preparing for Exams**

Increased cyber risks and increasing focus from regulators require financial institutions to enhance their Cyber security efforts. Upcoming exams will set a new, higher bar for information security and require formalization and adherence to Cybersecurity frameworks.

#### **Establishing a Roadmap for Tomorrow's Finance Organization**

In order to succeed in today’s fast-paced business environment, the finance organization needs to constantly evolve. With the advancement of technology and the change it drives, finance professionals are experiencing a revolution. Technology has enabled us to enhance visibility into our operations and provide insights into the numbers, allowing for real-time, improved decision making. Organizations today are also better positioned to outsource key functions using some of these new technologies, which, in turn, enables a seamless transition to outsourced providers.

#### **The Expanding CIO Role**

CIO’s today face daily technology changes, cyber security threats and managing employees in a whole new way. It is becoming more difficult to find technologists locally or to maintain and afford the expertise and specialties internally. Many financial institutions are looking externally for resources, commoditized services and hosting. CIOs are no longer managing only within the Financial Institution, but a virtual workforce. As we turn to more third party vendors, how do we make sure everything is covered and we are remaining compliant?

#### **Artificial Intelligence in Financial Services; Are you ready?**

Artificial intelligence (AI) can cover a range of emerging technologies being used across the financial services industry, including robotic and intelligent process automation (RPA and IPA). As it continues to evolve, is AI right for your bank? Are there areas within my current processes that could leverage this technology? Surveillance, tax planning, underwriting – just some topics this will cover during the session, along with the associated risk to your organization.

#### **Understanding the Impact of Blockchain to your Financial Institution**

This session will cover blockchain technology and the benefits it may have to your organization. What is blockchain? How can we use it? What are the risks we should understand by implementing this technology? We will also explore how regulators feel the oversight should be associated with blockchain.

## Managing the Maze of Employee Leave

Managing routine requests for leave is challenging enough. When employees require extended or frequent time off for their own illness or injury, or illness of a family member, the situation becomes more complex. These situations may now trigger the Family and Medical Leave Act (FMLA), Americans' with Disabilities Act (AD), and/or workers' compensation. Decisions made absolutely on written policy can be as detrimental as compassionate decisions. We will explore how requests for leave can trigger multiple laws and require thoughtful analysis in considering extensions of leave or terminations.

## Other 2018 Topics

- The Agile Financial Institution
- Turning data into information: Big Data Solutions
- 2022 Banking: Are you prepared?
- Connecting your People, Process & Technology
- Implementing Innovation: How much change is too much change?
- Efficiency squeeze – ways to build the lean bank
- Infrastructure Outsourcing Strategies
- Unified Communications 2.0
- Next Generation WAN Strategies
- A Director's View of Technology
- Payroll Challenge

## General Speaking Topics

### Regulatory compliance

- Vendor management
- Compliance management systems
- Hot topics in compliance and the Dodd-Frank Act
- Home Mortgage Disclosure Act compliance
- Fair lending
- Flood insurance requirements
- Unfair, deceptive or abusive acts or

### Bank Secrecy Act / Anti-Money Laundering

- Accurate filing of suspicious activity reports (SARs)
- Common mistakes on currency transaction reports
- High risk monitoring, customer due diligence policies
- Customer identification program

### Risk advisory

- Enterprise risk management and governance
- FDICIA controls and testing
- Memorandums of understanding
- Cease and desist
- Regulatory letters of agreement
- Formal letters of agreement

### Security and privacy

- IT security testing
- Payment Card Industry (PCI)
- IT security compliance and governance
- Digital forensics and incident response

### Mergers, acquisitions and expansions

- Buying, selling of institutions
- Branching / Chartering
- Valuations for purchase price allocations
- Pre and post-acquisition accounting advice

### Information technology

- Reducing technology spend
- Emerging and strategic technologies
- Cloud computing
- Core system and key application/vendor selection, negotiations, implementation
- Digital, wireless, mobility
- IT organizational development
- Disaster recovery strategies
- Enterprise content management
- Telecom, Voice over Internet Protocol
- Regulatory issues related to technology

### Financial advisory services

- Business process improvement
- System evaluation and selection
- Strategic planning
- Management studies
- Branch evaluation, strategy
- Board training and development

### Accounting and auditing

- Fraud
- Allowance for loan losses
- Audit committee and management interaction
- Fair value measurements for financial instruments
- Internal controls over financial reporting
- Risk-based assessments
- Asset impairment issues
- Accounting and auditing updates
- Leasing
- Regulatory capital
- Derivatives
- Preserving capital through operational efficiencies

## Our Services

When your company's growth and profitability hang in the balance, you have to weigh every decision. RSM offers an objective perspective to help you make those decisions. Our client service team consists of professionals with unique abilities and diverse talents, with the single-minded purpose of doing what's right to help you achieve your objectives. Our dedicated team of individuals serve more than 2,500 financial institutions nationwide. As a leading professional services firm to the industry, our financial institutions practice offers the breadth and depth of capabilities necessary to achieve your business strategies and goals. Our solutions are comprehensive, innovative and sustainable, in order to help you provide the highest quality service and remain competitive.

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